

Proving array properties of programs

An encoding of array verification problems into array-free
Horn clauses

Laure Gonnord and David Monniaux

University of Lyon/ LIP - CNRS/Verimag

January 25th, 2016

Plan

Motivation and big picture

Intuition

An algorithm to translate “C” into array-free horn clauses

Experimental results

Conclusion



Goal : Array Bound check

```
int main () {  
    int v[10] ;  
    v[0]=0; ✓  
    return v[20] ✗  
}
```

```
int a[N]  
for(i=0; i<N; i++) {  
    a[i] = 42;  
}  
assertInitialized(a) ; ✓
```

► Index-based verification.

Goal : More, array initialization

```
int a[N]
for(i=0; i<N; i++) {
    a[i] = 42;
}
#forall i, a[i]==42 ;
```



- Relationships between **indices and content**.

Goal : Even more, sortedness

```
int a[N] ;
int i, j, iMin ;

for (j=0; i<n-1; j++) {
    iMin=j ;
    for(i=j+1; i<N; i++) {
        if (a[i] < a[iMin])
            iMin=i ;
    }
    swap(a[j], a[iMin]) ;
}
assertSorted(a) ; ✓
```

► Sortedness : $\forall i, a[i] \leq a[i + 1]$ ► Permutation : later.



Invariants with tunable precision

- ▶ (array bound) only on indices
- ▶ (init) 1 index + content
- ▶ (sort) 2 indices + content
- ▶ Need for tunable precision.



Contributions

- ▶ A new abstraction for C-like programs with arrays:
 - ▶ with **tunable** precision.
 - ▶ into Horn clauses (a special type of formula) without arrays.
 - ▶ extensible to other data structures (maps, ...).
- ▶ Implemented as a standalone tool: VAPHOR
 - ▶ Translates a mini language into Horn clauses (SMTLIB FORMAT) ▶ **use your favorite solver as back-end!**
 - ▶ Capable of proving standard properties such as initialisation, ...
 - ▶ and ...sortedness.



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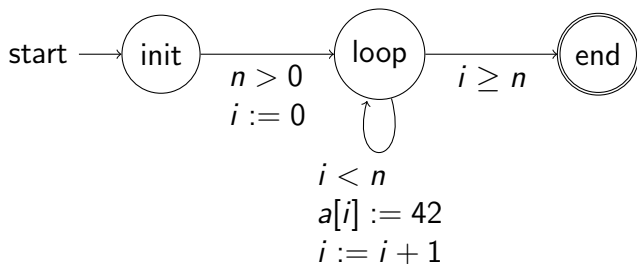
An algorithm to translate “C” into array-free horn clauses

Experimental results

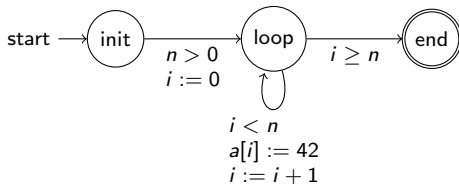
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Model of programs - Transition systems



Program verification : 1-abstract semantics



Abstract semantics: ($store(a, i, 42)$ is a where $a[i]$ is 42)

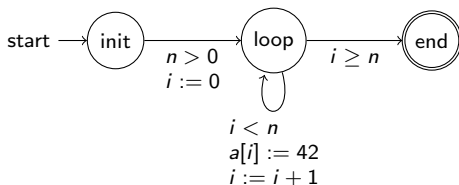
$$\forall n \in \mathbb{Z} \forall a \in Array(\mathbb{Z}, \mathbb{Z}) \quad n > 0 \implies loop(n, 0, a) \quad (1)$$

$$\begin{aligned} \forall n, i \in \mathbb{Z} \forall a \in Array(\mathbb{Z}, \mathbb{Z}) \quad i < n \wedge loop(n, i, a) \\ \implies loop(n, i + 1, \mathbf{store}(a, i, 42)) \end{aligned} \quad (2)$$

$$\forall n, i \in \mathbb{Z} \forall a \in Array(\mathbb{Z}, \mathbb{Z}) \quad i \geq n \wedge loop(n, i, a) \\ \implies end(n, a) \quad (3)$$

lip

Program verification : 2-formula checking



Correctness of the initialisation:

$$\forall n \in \mathbb{Z} \forall a \in \text{Array}(\mathbb{Z}, \mathbb{Z}) \quad 0 \leq k < n \wedge \text{end}(n, a) \implies a[k] = 42 \quad (4)$$

Remark : without this goal a trivial solution would be $\text{init} = \text{loop} = \text{end} = \text{true}$.

Program Verification as solving Horn Clauses

(Horn clause: $\forall \dots A \wedge B \wedge C \implies D$)

- ▶ Abstract semantics as Horn Clauses :

$$\forall \mathbf{x}, \mathbf{x} \in \text{initial values} \implies \mathbf{x} \in \text{invar}(i_0)$$

$$\forall \mathbf{x}, \mathbf{x}' \mathbf{x} \in \text{invar}(i) \wedge (\mathbf{x}, \mathbf{x}') \in \text{trans}(i, j) \implies \mathbf{x}' \in \text{invar}(j)$$

- ▶ Invariants are **unknown**.
- ▶ Safety property as Horn Clause.
- ▶ SAT \leftrightarrow the property is proven.



On logics with arrays

Expressivity/Decidability:

- ▶ Numerical (affine) constraints + \exists + \forall : OK
- ▶ Numerical constraints + \exists + uninterpreted fun : OK
- ▶ Numerical constraints + \exists + uninterpreted fun + \forall : Undec.
- ▶ Uninterpreted + ifthenelse \rightarrow Arrays (store/update)
- ▶ **Arrays + \forall : Undecidable.**



Experimentally

State-of-the-art solvers (Z3/PDR, Z3/Spacer, Eldarica) are really not performant on array support: (demo!)

```
laure@sorlin:~/.../$ z3 array_fill1.smt2  
unsat
```

- ▶ Let us translate into classical Horn Formula **without arrays**.



Basic Idea

If there is a proof of a safety property of a given program with arrays, it is likely that there exists a proof that can be expressed with simple steps over properties relating only a small number N of array cells.

- ▶ Use **parametric distinguished** variables for cells : a_i instead of $a[i]$.
- ▶ Only distinguish variables when needed.
- ▶ array init : $N=1$, sorting $N=2, \dots$
- ▶ The size of the formula we will get will depend on this N .



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Our language

W.L.O.G. we consider *int arrays* and *int scalar variables*:

$$\begin{aligned} \textit{stmt} & ::= x := \textit{expr_int} \\ & | x := a[i] \\ & | a[j] := y \\ & | \textit{stmt}; \textit{smt} \\ & | \textit{if}(\textit{expr})\textit{then } \textit{stmt} \textit{ else } \textit{stmt} \\ & | \textit{while}(\textit{expr}) \textit{ do } \textit{stmt} \end{aligned}$$

expr = expression with or without array accesses.

► more array expressions with basic program transformation.



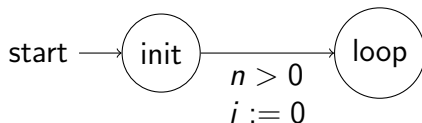
Abstract value

Each node of the CFG is associated to a predicate whose arity is:

- ▶ The number of *live* scalar variables.
- ▶ + $2N$ variables (i, a_i) to encode parametric cells.
- ▶ “we know that at cell i , the value is a_i ”.

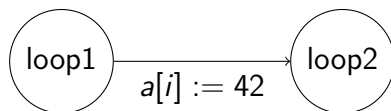


Translation - scalar values : assignments/tests



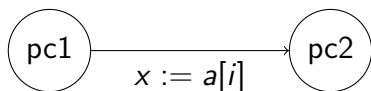
► $\forall \dots \text{init}(i, n, \dots) \wedge n > 0 \Rightarrow \text{loop}(0, n, \dots)$.

Translation - arrays : write



$$\begin{cases} \forall \dots \text{loop1}(i, n, k, ak) \wedge i \neq k \Rightarrow \text{loop2}(i, n, k, ak) \\ \forall \dots \text{loop1}(i, n, i, ak) \Rightarrow \text{loop2}(x, i, i, 42) \end{cases}$$

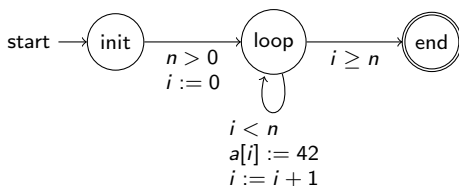
Translation - arrays : read



- ▶ (Easy rule) $pc1(i, n, x, i, ai) \Rightarrow pc2(i, n, ai, i, ai)$
- ▶ (Less intuitive) $pc1(i, n, x, k, ak) \wedge pc1(i, n, x, i, ai) \wedge k \neq i \Rightarrow pc2(i, n, ai, k, ak)$
(to have $a_i = a[i]$ and $a_k = a[k]$ at pc2 with a given valuation (n, i) , then a_i and a_k had to be reachable with the same valuation)

This last rule is **non-linear**.

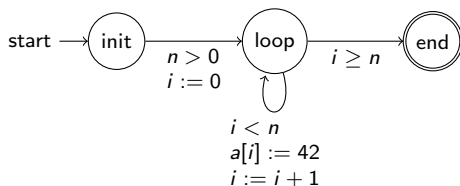
Translation - properties



$$\forall n \in \mathbb{Z} \forall a \in \text{Array}(\mathbb{Z}, \mathbb{Z}) \quad 0 \leq k < n \wedge \text{end}(n, a) \\ \implies a[k] = 42$$

► $0 \leq k < n \wedge \text{end}(n, i, k, ak) \implies a_k = 42$

Translation - on the example



$$0 \leq k < n \implies \text{loop}(n, 0, k, a_k)$$

$$0 \leq k < n \wedge i < n \wedge \text{loop}(n, i, k, a_k) \implies \text{write}(n, i, k, a_k)$$

$$0 \leq k < n \wedge i \neq k \wedge \text{write}(n, i, k, a_k) \implies \text{incr}(n, i, k, a_k)$$

$$0 \leq k < n \wedge \text{write}(n, i, i, a_k) \implies \text{incr}(n, i, i, 42)$$

$$0 \leq k < n \wedge \text{incr}(n, i, k, a_k) \implies \text{loop}(n, i + 1, k, a_k)$$

$$0 \leq k < n \wedge i \geq n \wedge \text{loop}(n, i, k, a_k) \implies \text{end}(n, i, k, a_k)$$

$$0 \leq k < n \wedge \text{end}(n, i, k, a_k) \implies a_k = 42$$

Example : demo

```
laure@sorlin:~/.../$ z3 array_fill2.smt2  
sat
```



Translation : N=2 case

Idea: distinguish 2 array cells $a[k_1], a[k_2]$ with $k_1 \leq k_2$:

► Now $a[i] := 42$ translates into:

$$pc_1(\mathbf{x}, i, k_1, a_{k_1}, k_2, a_{k_2}) \wedge i \neq k_1 \wedge i \neq k_2 \implies pc_2(\mathbf{x}, i, k_1, a_{k_1}, k_2, a_{k_2})$$

$$pc_1(\mathbf{x}, i, \mathbf{i}, a_{k_1}, k_2, a_{k_2}) \wedge i < k_2 \implies pc_2(\mathbf{x}, i, \mathbf{i}, \mathbf{42}, k_2, a_{k_2})$$

$$pc_1(\mathbf{x}, i, k_1, a_{k_1}, \mathbf{i}, a_{k_2}) \wedge k_1 < i \implies pc_2(\mathbf{x}, i, k_1, a_{k_1}, \mathbf{i}, \mathbf{42})$$

► Sorting property is encoded as :

$$0 < k_1 < k_2 < n \wedge exit(\dots, k_1, a_{k_1}, k_2, a_{k_2}) \implies a_{k_1} \leq a_{k_2}$$

Translation : we have more

- ▶ Complete formalisation for $N > 1$ case.
- ▶ 2D arrays, maps. . .
- ▶ Multisets: full proof of sorting algorithms.
- ▶ **Functional correctness** of selection/insertion sorts.



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A note about implementation

Our tool, VAPHOR:

- ▶ 2k lines of OCAML
- ▶ Input : mini-java.
- ▶ Output : Horn Clauses as a SMTLIB file.
- ▶ Not publicly available yet.



Experimental Setup

- ▶ Intel 32 i3-3110M cores, 64 GiB RAM, Ubuntu 14.04 LTS.
- ▶ But solvers are not parallel !
- ▶ Classical benchmarks of the literature
- ▶ Wikipedia version of some sorting algorithms

Nice results on literature classical examples

benchmark	N	Z3/PDR		Z3/SPACER		comments
		result	time	result	time	
append	1	sat	2.36	sat	3.34	
append	-1	sat	31.74	sat	0.16	
find	1	sat	0.26	sat	0.26	
find	-1	sat	0.04	sat	0.03	
partialcopy	1	sat	2.57	sat	0.65	
reverse	1	sat	3.79	sat	2.48	
strcpy	1	sat	4.52	sat	0.54	
swapncopy	1	sat	54.10	timeout	299.01	
memcpy	1	sat	4.05	sat	0.61	
initeven	1	sat	1.32	sat	0.71	+ divisibility constraints

Less nice experiments

benchmark	N	Z3/PDR		Z3/SPACER		comments
		result	time	result	time	
selection_sort (sortedness)	2	sat	200	timeout	600	
selection_sort (sortedness)	2	unsat	83	sat	48	manual
selection_sort (permutation)	1	timeout	600	sat	9.24	manual
bubble_sort	2	timeout	300	sat	80	
bubble_sort	-1	sat	0	sat	0	
insertion_sort	2	sat	1.26	sat	1.36	
insertion_sort	-1	sat	0	sat	0	

► Bugs, execution times highly fluctuant, ... ► Horn solvers are in their early ages.

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Summary

- ▶ A method to synthesize abstractions of C programs with arrays into array-free Horn Clauses
- ▶ Agnostic about the backend solver
- ▶ Capable of proving challenging properties in a reasonable amount of time.
- ▶ Available on HAL.

A note on related work

- ▶ smashing
 - ▶ exploding
 - ▶ use slices
- ▶ All these approaches are combinations of our two Galois connections.
- ▶ Other related in the paper.

Future work

- ▶ Consolidate the prototype.
- ▶ Implement some simplifications during code generation, and the extensions to other data structures.
- ▶ Write our own Horn Solver !

