

Lexing, Parsing

Laure Gonnord

<http://laure.gonnord.org/pro/teaching/>

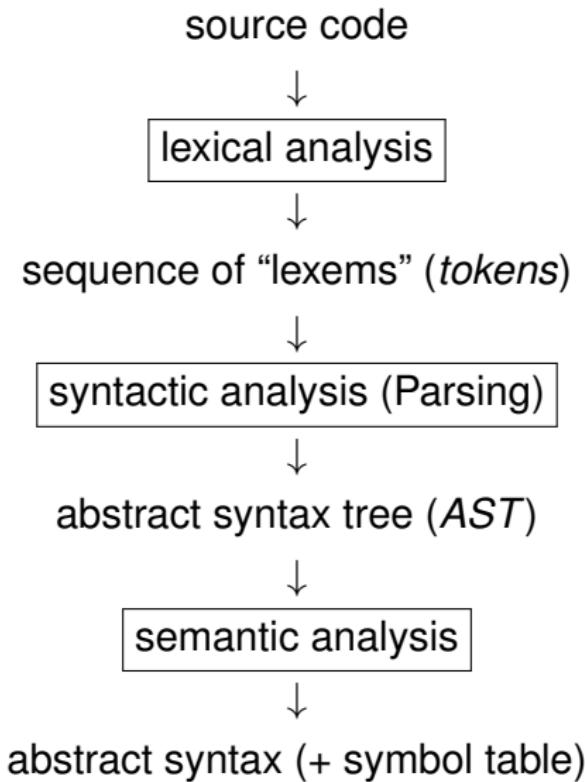
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Analysis Phase



Goal of this chapter

- Understand the syntactic structure of a language ;
- Separate the different steps of syntax analysis ;
- Be able to write a syntax analysis tool for a simple language ;
- **Remember** : syntax \neq semantics.

Syntax analysis steps

How do **you** read text ?

- Text=a sequence of symbols (letters, spaces, punctuation) ;
- Group symbols into tokens :
 - Words : groups of letters ;
 - Punctuation ;
 - Spaces.

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- Group tokens into :
 - Propositions ;
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- Then proceed with word meanings :
 - Definition of each word.
ex : a dog is a hairy mammal, that barks and...
 - Role in the phrase : verb, subject, ...

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Syntax analysis=Lexical analysis+Parsing

1 Lexical Analysis

- Principles
- Tools

2 Syntactic Analysis

3 Abstract Syntax Tree and evaluators

What for ?

```
int y = 12 + 4*x;
```

⇒ [TINT, VAR("y"), EQ, INT(12), PLUS, INT(4), FOIS,
VAR("x"), PVIRG]

- ▶ Group characters into a list of **tokens**, e.g. :
 - The word “int” stands for *type integer*;
 - A sequence of letters stands for a *variable*;
 - A sequence of digits stands for an *integer*;
 - ...

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Principle

- Take a lexical description : $E = (\underbrace{E_1}_{\text{Tokens class}} \mid \dots \mid E_n)^*$
- Construct an automaton.

Example - lexical description (“lex file”)

$$E = ((0|1)^+ | (0|1)^+.(0|1)^+ '+'')^*$$

What's behind

Regular languages, regular automata :

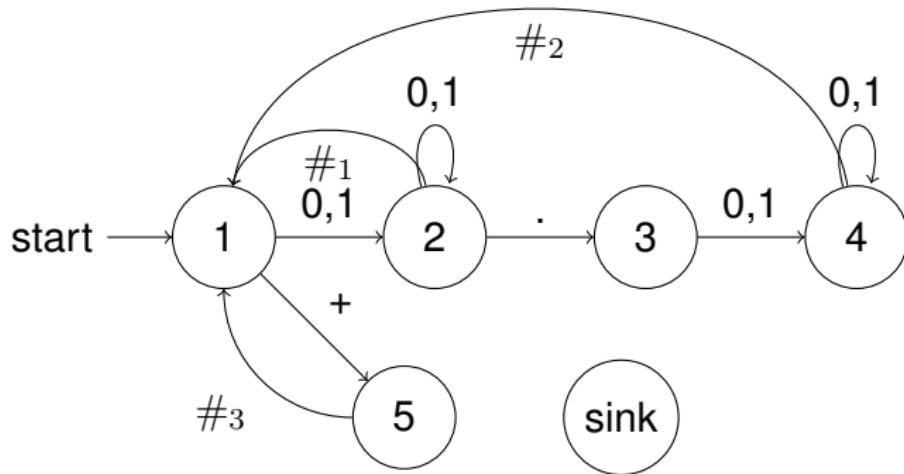
- Thompson construction ▶ non-det automaton
- Determinization, completion
- Minimisation

Construction/use of automaton

Let $E = (\underbrace{(0|1)^+}_{\#_1} \mid \underbrace{(0|1)^+.(0|1)^+}_{\#_2} \mid \underbrace{'+'}_{\#_3})^*$ and

$$\Sigma = \{0, 1, +, ., \#_1, \#_2, \#_3\}.$$

We define $E_\# = ((0|1)^+ \#_1 | (0|1)^+.(0|1)^+ \#_2 | '+' \#_3)$.



Source C. Alias drawn by former M1 students

Remarks

- Notion of ambiguity.
- Compaction of transition table.

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Tools : lexical analyzer constructors

- Lexical analyzer constructor : builds an automaton from a regular language definition ;
- Ex : Lex (C), JFlex (Java), OCamllex, **ANTLR** (multi), ...
- **input** : a set of regular expressions with actions (`Toto.g4`) ;
- **output** : a file(s) (`Toto.java`) that contains the corresponding automaton.

Analyzing text with the compiled lexer

- The **input of the lexer** is a text file ;
- Execution :
 - Checks that the input is accepted by the compiled automaton ;
 - Executes some actions during the “automaton traversal”.

Lexing tool for Java : ANTLR

- The official webpage : www.antlr.org (BSD license) ;
- ANTLR is both a lexer and a parser ;
- ANTLR is multi-language (not only Java).

ANTLR lexer format and compilation

.g4

```
grammar XX;
@header {
// Some init code...
}
@members {
// Some global variables
}
// More optional blocks are available
--->> lex rules
```

Compilation :

```
antlr4 Toto.g4          // produces several Java files
javac *.java            // compiles into xx.class files
grun Toto r              // Run analyzer with starting rule r
```

Lexing with ANTLR : example

Lexing rules :

- Must start with an upper-case letter ;
- Follow the usual extended regular-expressions syntax
(same as egrep, sed, ...).

A simple example

```
grammar Hello;

// This rule is actually a parsing rule
r : HELLO ID; // match "hello" followed by an identifier

HELLO : 'hello' ;           // beware the single quotes
ID   : [a-z]+ ;             // match lower-case identifiers
WS   : [ \t\r\n]+ -> skip ; // skip spaces, tabs, newlines
```

Lexing - more than regular languages

Counting in ANTLR - CountLines.g4

```
grammar CountLines;

// Members can be accessed in any rule
@members {int nbLines=0;}

r : (NEWLINE)* ;

NEWLINE : [\r\n] {
    nbLines++;
    System.out.println("Current lines:"+nbLines); }
WS : [ \t]+ -> skip ;
```

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What's Parsing ?

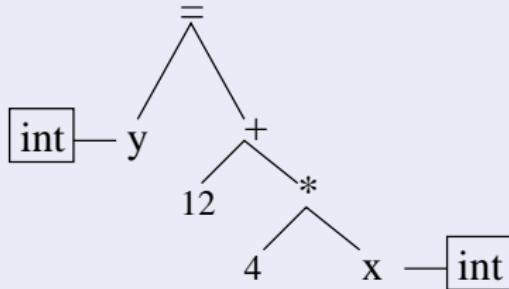
Relate tokens by structuring them.

Flat tokens

[TINT, VAR("y"), EQ, INT(12), PLUS, INT(4), FOIS, VAR("x"), PVIRG]

⇒ **Parsing** ⇒

Accept → Structured tokens



In this course

Only write acceptors or a little bit more.

What's behind ?

From a Context-free Grammar, produce a Stack Automaton
(already seen in L3 course ?)

Recalling grammar definitions

Grammar

A **grammar** is composed of :

- A finite set N of non terminal symbols
- A finite set Σ of terminal symbols (disjoint from N)
- A finite set of production rules, each rule of the form
 $w \rightarrow w'$ where w is a word on $\Sigma \cup N$ with **at least** one letter of N . w' is a word on $\Sigma \cup N$.
- A start symbol $S \in N$.

Example

Example :

$$S \rightarrow aSb$$

$$S \rightarrow \varepsilon$$

is a grammar with $N = \dots$ and \dots

Associated Language

Derivation

G a grammar defines the relation :

$$x \Rightarrow_G y \text{ iff } \exists u, v, p, q : x = upv \text{ and } y = uqv \text{ and } (p \rightarrow q) \in P$$

- ▶ A grammar describes a **language** (the set of words on Σ that can be derived from the start symbol).

Example - associated language

$$S \rightarrow aSb$$

$$S \rightarrow \varepsilon$$

The grammar defines the language $\{a^n b^n, n \in \mathbb{N}\}$

$$S \rightarrow aBSc$$

$$S \rightarrow abc$$

$$Ba \rightarrow aB$$

$$Bb \rightarrow bb$$

The grammar defines the language $\{a^n b^n c^n, n \in \mathbb{N}\}$

Context-free grammars

Context-free grammar

A **CF-grammar** is a grammar where all production rules are of the form $N \rightarrow (\Sigma \cup N)^*$.

Example :

$$S \rightarrow S + S | S * S | a$$

The grammar defines a language of arithmetical expressions.

► Notion of **derivation tree**.

Draw a derivation tree of a^*a+a , of $S+S$!

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Recognizing grammars

Grammar type	Rules	Decidable
Regular grammar	$X \rightarrow aY, X \rightarrow b$	YES
Context-free grammar	$X \rightarrow u$	YES
Context-sensitive grammar	$uXv \rightarrow uwv$	YES
General grammar	$u \rightarrow v$	NO

Parser construction

There exists algorithms to recognize class of grammars :

- Predictive (descending) analysis (LL)
- Ascending analysis (LR)
- ▶ See the Dragon book.

Tools : parser generators

- Parser generator : builds a stack automaton from a grammar definition ;
- Ex : yacc(C), javacup (Java), OCamllyacc, **ANTLR**, ...
- **input** : a set of grammar rules with actions (`Toto.g4`) ;
- **output** : a file(s) (`Toto.java`) that contains the corresponding stack automaton.

Lexing vs Parsing

- Lexing supports (\simeq regular) languages ;
- We want more (general) languages \Rightarrow rely on context-free grammars ;
- To that intent, we need a way :
 - To declare terminal symbols (**tokens**) ;
 - To write grammars.
- ▶ Use both Lexing rules and Parsing rules.

From a grammar to a parser

The grammar must be **context-free** :

$S \rightarrow aSb$

$S \rightarrow \text{eps}$

- The grammar rules are specified as **Parsing rules** ;
- a and b are terminal tokens, produced by Lexing rules.

Parsing with ANTLR : example 1/2

AnBnLexer.g4

```
lexer grammar AnBnLexer;

// Lexing rules: recognize tokens
A: 'a' ;
B: 'b' ;

WS : [ \t\ r\n ]+ → skip ; // skip spaces, tabs, newlines
```

Parsing with ANTLR : example 2/2

AnBnParser.g4

```
parser grammar AnBnParser;
options {tokenVocab=AnBnLexer;} // extern tokens definition

// Parsing rules: structure tokens together
prog : s EOF ; // EOF: predefined end-of-file token
s : A s B
    | ; // nothing for empty alternative
```

ANTLR expressivity

LL(*)

At parse-time, decisions gracefully throttle up from conventional fixed $k \geq 1$ lookahead to arbitrary lookahead.

Further reading (PLDI'11 paper, T. Parr, K. Fisher)

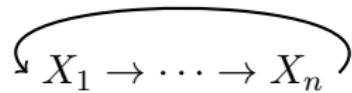
<http://www.antlr.org/papers/LL-star-PLDI11.pdf>

Left recursion

ANTLR permits left recursion :

a: a b;

But not indirect left recursion.



There exist algorithms to eliminate indirect recursions.

Lists

ANTLR permits lists :

```
prog: statement+ ;
```

Read the documentation !

[https:](https://github.com/antlr/antlr4/blob/master/doc/index.md)

//github.com/antlr/antlr4/blob/master/doc/index.md

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Semantic actions

Semantic actions : code executed each time a grammar rule is matched :

Printing as a semantic action in ANTLR

```
s : A s B { System.out.println("rule s"); } //  
java
```

```
s : A s B { print("rule s"); } //python
```

Right rule : Python/Java/C++, depending on the back-end

```
antlr4 -Dlanguage=Python2
```

- We can do more than acceptors.

Semantic actions - attributes

An attribute is a set attached to non-terminals/terminals of the grammar

They are usually of two types :

- synthetized : sons → father.
- inherited : the converse.

Computing attributes with semantic actions

ArithExprParser.g4 - Warning this is java

```
parser grammar ArithExprParser;
options {tokenVocab=ArithExprLexer;}

prog : expr EOF { System.out.println("Result: "+$expr.val); } ;

expr returns [ int val ] : // expr has an integer attribute
    LPAR e=expr RPAR { $val=$e.val; }
    | INT { $val=$INT.int; } // implicit attribute for INT
    | e1=expr PLUS e2=expr // name sub-parts
    { $val=$e1.val+$e2.val; } // access attributes
    | e1=expr MINUS e2=expr { $val=$e1.val-$e2.val; }
    ;
```

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So Far ...

ANTLR has been used to :

- Produce **acceptors** for context-free languages ;
- Do a bit of computation on-the-fly.

⇒ In a classic compiler, parsing produces an **Abstract Syntax Tree**.

▶ Next course !