

Program verification

Proving Termination of flowcharts programs

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Joint work with Christophe Alias, Alain Darte, and Paul Feautrier (Compsys, ENS Lyon), Gabriel Radanne and Lucas Seguinot (ENS Bretagne), David Monniaux (Verimag, Grenoble) and Raphael-Ernani. Rodriguez (Univ Mineas Gerais Brasil).

Plan

Introduction

Termination proofs, what for ?

Termination proofs, how ?

Pre-processing

A first algorithm : SAS 2010

Formalisation

An algorithm to compute 1D affine functions

An algorithm for multidimensional ranking functions

First experimental results

Scalability issues

Scaling : PLDI 2015

Motivation and big picture

Counter-example based algorithm

Some details on implementation

Experimental results

Conclusion



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Goal : Safety

Prove that (some) loops terminate:

```
int main () {  
    unsigned int i, j ;  
    i=42 ; j=1515 ;  
    while(i>0) i-- ; ✓  
    while(j>=0) j++ ; ✗  
}
```

► Fight against bugs.

Goal : Optimisation

Prove that (some) loops terminate:

```
int main () {  
    unsigned int i, j ;  
    i=42 ; j=1515 ;  
    while(i>0) i-- ; ✓  
    foo(j) ;  
}
```

- ▶ Code motion (compiler optimisation).

But

Termination (HALTING PROBLEM) is undecidable !



- ▶ Use **conservative algorithms** : YES (+ witness) or "Don't Know" (+ potential infinite path)
- ▶ On **restricted** classes of programs.

Hoare rule [1969] for total correctness

Partial correctness :

$$\{ P \text{ and } B \} S \{ P \}$$

$$\{ P \} \text{ while } B \text{ do } S \text{ done } \{ \text{not}(B) \text{ and } P \}$$


Hoare rule [1969] for total correctness

Total correctness :

$$\{ t=z \text{ and } t \in D \text{ and } P \text{ and } B \} S \{ P \text{ and } t < z \text{ and } t \in D \} \quad (D, <) \text{ well-founded}$$

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Hoare rule [1969] for total correctness

Total correctness :

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$$\{P\} \text{ while } B \text{ do } S \text{ done } \{\text{not}(B) \text{ and } P\}$$

► Find $(D, <)$ and t !



First easy example

```
assume(N>0);  
i=N;  
while(i>0) --i;
```

▶ $(\mathbb{N}, <)$ and $t = i$.

Restriction

In this course, we will only focus on:

Numerical (sequential) flowcharts programs

no thread, no recursive call, no function call, no list, no pointer.

- ▶ A great restriction, but still undecidable
- ▶ We are able to synthesize **ranking functions** in some cases.



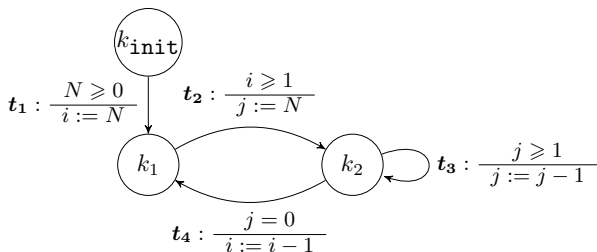
Agenda

- ▶ A (conservative) algorithm to find **affine ranking functions**.
- ▶ Scalability issues and other improvements.

Our model for programs

Interpreted affine automata $(\mathcal{K}, n, k_{init}, \mathcal{T})$

- ▶ \mathcal{K} : control points
- ▶ n rational variables \mathbf{x}
- ▶ $k_{init} \in \mathcal{K}$ the initial control point
- ▶ \mathcal{T} the set of transitions (k, g, a, k')



C program to automata + invariants

Preprocessing :

- ▶ Compilation + abstraction of non numerical behaviors.
Not trivial.
- ▶ We also compute numerical invariants (polyhedra on each control point) (see course 3 on invariant generation).



Two papers

- ▶ SAS 2010 : Alias, Darte, Feautrier, Gonnord.
- ▶ PLDI 2015 : Gonnord, Monniaux, Radanne.

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Termination for affine automata (I)

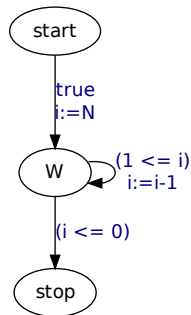
What is a **ranking function** for a given affine automaton ?

- ▶ A mapping from $(state, value)$ to a well-founded set
- ▶ Decreasing (strictly) on each transition.



Termination for affine automata (II)

Monodimensional affine ranking function: $(\mathbb{N}, <)$



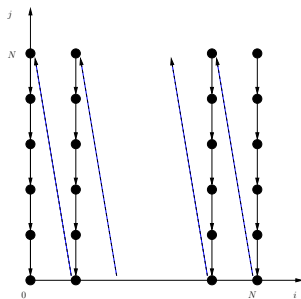
$$\rho(st, i, N) = \begin{cases} 2 + N_0 & \text{if } st = \text{start} \\ i + 1 & \text{if } st = W \\ 0 & \text{if } st = \text{stop} \end{cases}$$

Termination for affine automata (III)

Multidimensional affine ranking function: $(\mathbb{N}^d, <_{lex})$

$$\rho(k, \vec{x}) = A_k \cdot \vec{x} + \vec{b}_k$$

```
//N>0  
i = N;  
while(i>0)  
{  
    j = N;  
    while(j>0) j--;  
    i--;  
}
```



Introduction

Problem statement

Given:

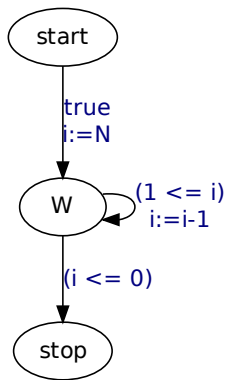
- ▶ An affine automaton.
- ▶ Some affine invariants on each control point.

Find a 1D (affine) ranking function.



Finding a 1D-ranking function as an affine form

```
assume(N>0);  
i=N;  
while(i>0) --i;
```



Searching for $\alpha_{pc,-} \in \mathbb{Q}$:

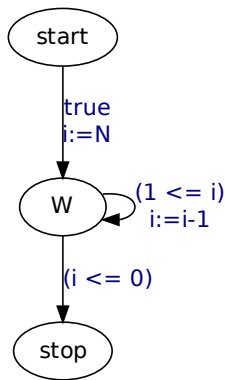
$$\begin{aligned}\rho(\text{start}, \vec{x}) &= \alpha_{\text{start},1} \cdot \mathbf{i} + \alpha_{\text{start},2} \cdot \mathbf{N} \\ &+ \alpha_{\text{start},3} \cdot \mathbf{i}_0 + \alpha_{\text{start},4} \cdot \mathbf{N}_0 \\ &+ \alpha_{\text{start},5}\end{aligned}$$

$$\rho(W, \vec{x}) = \alpha_{W,1} \cdot \mathbf{i} + \dots$$

$$\rho(\text{stop}, \vec{x}) = \alpha_{\text{stop},1} \cdot \mathbf{i} + \dots$$

Finding a 1D-ranking function as an affine form

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assume(N>0);  
i=N;  
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$$\rho(W, \vec{x}) = \alpha_{W,1} \cdot \mathbf{i} + \dots$$

$$\rho(\text{stop}, \vec{x}) = \alpha_{\text{stop},1} \cdot \mathbf{i} + \dots$$

The constraints are :

- ▶ For each control point : $\rho(pc, \vec{x}) \geq 0$ on P_{pc}
- ▶ For each transition $(\vec{x}' - \vec{x}) \in t \Rightarrow \rho(\text{dest}, \vec{x}') - \rho(\text{src}, \vec{x}) > 0$

lip

ArgIII, “forall” constraints

$\rho(pc, \vec{x}) \geq 0$ on P_W gives (control point W):

$$\forall i, N \in P_W, \alpha_{W,1} \cdot i + \dots \geq 0$$

$(\vec{x}' - \vec{x}) \in t \Rightarrow \rho(dest, \vec{x}') - \rho(src, \vec{x}) > 0$ for the “loop transition”:

$$\forall i, N, i', N' \in P_{transition}, \alpha_{W,1}(i' - i) + \dots > 0$$

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$$\forall i, N, i', N' \in P_{transition}, \alpha_{W,1}(i' - i) + \dots > 0$$

Unknowns are $\alpha_{*,*}$. “Forall” in (possibly) **infinite domains** !?



A very useful theorem

Farkas Lemma

An affine form which is positive on a (convex) polyhedron can be expressed as a linear combination of the polyhedron's constraints.



Finding a 1D ranking function : linearization

1- Constraints for **control points** : $\rho(p_c, \vec{x}) \geq 0$ on P_{pc} .

Here (for W) $P_W = \{N_0 > 0, N = N_0, 0 \leq i \leq N\}$ thus :

$$\begin{aligned}\rho(W, \vec{x}) &= \lambda_{W,1} \cdot (N_0 - 1) + \lambda_{W,2} \cdot (N_0 - N) \\ &+ \lambda_{W,3} \cdot (N - N_0) + \lambda_{W,4} \cdot \mathbf{i} + \lambda_{W,3} \cdot (N - \mathbf{i})\end{aligned}$$



Finding a 1D ranking function : linearization

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We were looking for $\rho(W, \vec{x})$ with the following “template” :

$$\rho(W, \vec{x}) = \alpha_{W,1} \cdot \mathbf{i} + \alpha_{W,2} \cdot N + \alpha_{W,3} \cdot i_0 + \alpha_{W,4} \cdot N_0 + \alpha_{W,3}$$

► Identifying coefficients for \mathbf{i} : $\alpha_{W,1} = \lambda_{W,4} - \lambda_{W,3}, \dots$

Finding a 1D ranking function : linearization

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- ▶ Identifying coefficients for \mathbf{i} : $\alpha_{W,1} = \lambda_{W,4} - \lambda_{W,3}, \dots$
- ▶ We solved the **for all** problem.

Finding a 1D ranking function - linearization and solving

2- **Decreasing transitions** :

$$(\vec{x}' - \vec{x}) \in t \Rightarrow \rho(\text{dest}, \vec{x}') - \rho(\text{src}, \vec{x}') > 0$$

also gives affine constraints.

Finding a 1D ranking function - linearization and solving

2- Decreasing transitions :

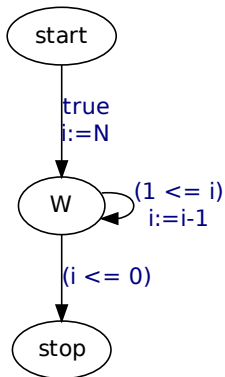
$$(\vec{x}' - \vec{x}) \in t \Rightarrow \rho(\text{dest}, \vec{x}') - \rho(\text{src}, \vec{x}') > 0$$

also gives affine constraints.

► A set of affine constraints. A **Linear Programming solver** gives a model, which solves the problem.

Finding a 1D ranking function - example/demo

```
assume(N>0);  
i=N;  
while(i>0) --i;
```



We find :

state start:
 $2+N_o$

state W:
 $1+i$

state stop:
 0

But

Scoop : all programs are not **linear** !

- ▶ Synthesize **multidimensional** ranking functions.



The main idea

Idea

A multidimensional affine function is a **vector** of monodimensional (**partial**) ranking functions.

$$\rho = \begin{pmatrix} \rho_1 \\ \rho_2 \\ \dots \\ \rho_d \end{pmatrix}$$

Finding a ranking function - nD

The multidimensional-case, a **greedy algorithm**

- ▶ $i = 0$; $T = \mathcal{T}$, set of all transitions.
- ▶ While T is not empty do
 - ▶ Find a 1D affine function σ , not increasing for any transition, and decreasing for as many transitions as possible.
 - ▶ Let $\rho_i = \sigma$; $i = i + 1$; (i^{th} dimension)
 - ▶ If no transition is decreasing, **return false**.
 - ▶ Remove from T all decreasing transitions.
- ▶ $d = i$, **return true**.



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- ▶ $d = i$, **return true**.



Modification of the constraint system

Pb How do we implement “**decreasing for as many transitions as possible**” in the LP instance ?

Decreasing transitions constraints :

$$(\vec{x}' - \vec{x}) \in t \Rightarrow \rho(\text{dest}, \vec{x}') - \rho(\text{src}, \vec{x}') > 0$$

→

$$(\vec{x}' - \vec{x}) \in t \Rightarrow \rho(\text{dest}, \vec{x}') - \rho(\text{src}, \vec{x}') \geq \epsilon_t$$

with $0 \leq \epsilon_t \leq 1$

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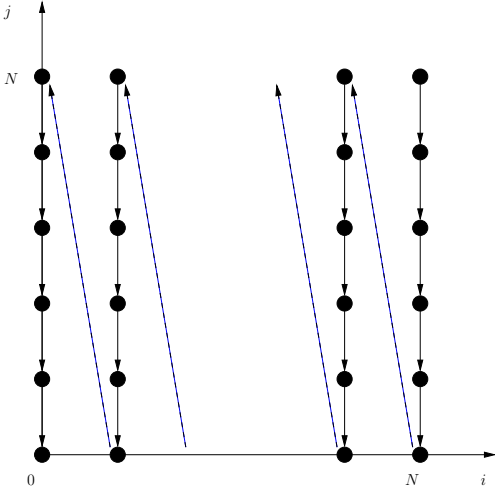
And the **Objective function**:

$$\text{Maximize } \sum_t \epsilon_t$$



Example - 1

```
//N>0
i = N;
while(i>0)
{
    j = N;
    while(j>0) j--;
    i--;
}
```



Example - 2

```
//N>0
```

```
i = N;
```

```
while(i>0){
```

```
  j = N;
```

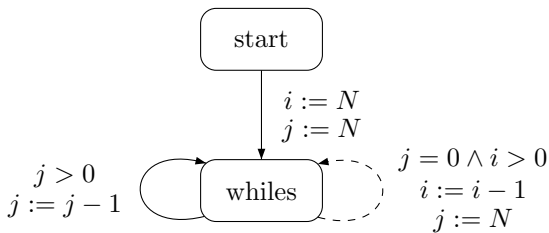
```
  while(j>0) j--;
```

```
  i--;
```

```
}
```

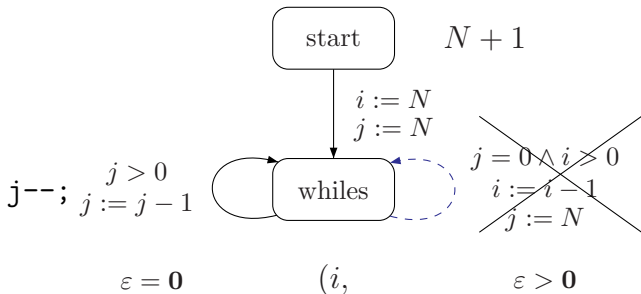
Invariant for whiles :

$$-1 < i \leq N, -1 < j \leq N, N > 0, N = N_0$$



Example - 2

```
//N>0
i = N;
while(i>0){
  j = N;
  while(j>0) j--;
  i--;
}
```

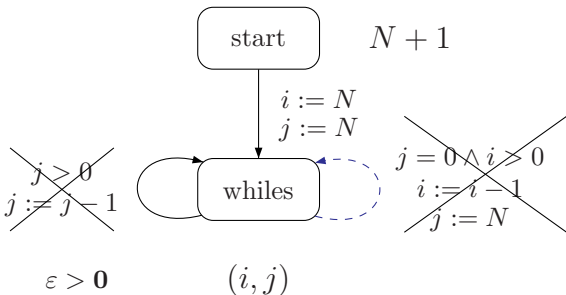


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}
```

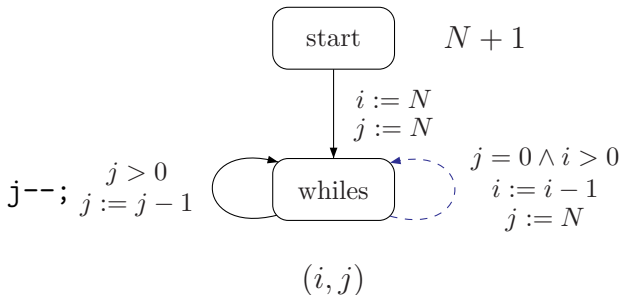


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Example - 2

```
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  j = N;
  while(j>0) j--;
  i--;
}
```



Invariant for `whiles` :

$$-1 < i \leq N, -1 < j \leq N, N > 0, N = N_0$$

An additional result!

Theorem (Completeness of greedy algorithm w.r.t. invariants)

If an affine interpreted automaton, with associated invariants, has a multi-dimensional affine ranking function, then the greedy algorithm generates one such ranking.

*Moreover, the **dimension** of the generated ranking is **minimal**.*

Summary of this part

From (arbitrary) flowchart programs :

- ▶ Compute an affine abstraction.
- ▶ Compute invariants on each control point.
- ▶ Compute and solve linear programming problems from the graph and its invariants.

Bonus ! Computing a “WCET”

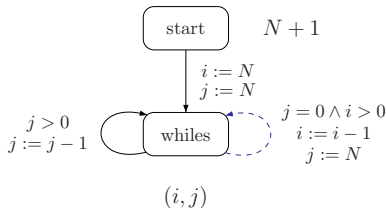
Worst-case computational complexity (WCCC): maximum number of transitions fired by the automaton:

$$WCCC \leq \text{card}\left(\bigcup_k \rho(k, P_k)\right) \leq \sum_k \text{card}(\rho(k, P_k))$$

► Use **counting integer points** algorithms

$$WCCC \leq \#\rho(\text{start}, P_{\text{start}}) \\ + \#\rho(\text{whiles}, P_{\text{whiles}})$$

$$= 1 + \#\{(i, j) \mid \dots\} \\ = N_0^2 + \dots$$



Our toolsuite “Rank”

- ▶ C2FSM for the front-end
- ▶ ASPIC for the invariants
- ▶ RANK for the computation of the ranking function.

Available for demo at the url :

`http://compsys-tools.ens-lyon.fr/`



Some experimental results

Sorting arrays :

Name	LOCs	Time(c2fsm/analysis) ¹	dim
selection	20	1.0/0.4	3
insertion	12	0.6/0.22	3
bubble	22	1.2/0.4	3
shell	23	1.0/1.1	4
heap	45	3.0/2.8	3

¹user time in seconds on a Pentium 2GHz with 1Gbyte RAM

Some comments on experimental results

- ▶ The algorithm works well on small challenging programs from the literature.
- ▶ The form of the automaton has a strong impact on the invariants.
- ▶ The precision of invariants is **crucial**.

But the size of the LP instances grows exponentially and the solvers cannot deal with too much variables

ex2 : 10 loc / automaton : 10 vars, 5 transitions

-- > 3LP, average 180L/75 cols

heapsort : 30 loc / automaton : 12 vars, 10 transitions

--> fail.

- ▶ Our algorithm does not scale.



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2 ways of improvement

Two main directions of work :

- ▶ Divide and conquer : slice, cut, and go.
- ▶ Work on the 'practical' complexity of the initial algorithm.

Divide and conquer

Global idea

Work on smaller instances of programs.

We use classical (static methods for safety) :

- ▶ slicing : we designed a specialized slicing for termination
 - ▶ compute **context information**
 - ▶ cut into **kernels** with preconditions
 - ▶ prove termination on kernels.
- ▶ With C. Alias and G. Andrieu [Stop tool].

Work on the initial algorithm

Even after slicing/summarizing all programs are not tractable with the first (monodimensional) algorithm.

- ▶ Idea 1 : work only on cutsets and on a compact version of the graph (Henry/Monnaux)
- ▶ Idea 2 : Construct **incrementally** the (dual) LP programs with counter examples computed with an SMT-solver. The size of LP programs does not depend on the complexity of the transitions.
- ▶ These ideas lead to PLDI'15 and the tool Termite

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Contributions of the PLDI paper

- ▶ A technique to prove that (some) loops terminate:
 - ▶ Automatic generation of **ranking functions**
 - ▶ Based on Linear Programming.
 - ▶ Focus on scalability: incremental construction of LP instances.
- ▶ Implemented as a standalone tool: `TERMITE`
 - ▶ Capable of proving 119 on 129 programs of `TERMCOMP` benchmark.
 - ▶ Competitive with other state-of-the-art tools.
 - ▶ Publicly available on github.



Proving termination: ranking functions

Non strict Linear ranking function

- ▶ Non increasing along the transitions
- ▶ Positive
- ▶ Linear

Strict linear ranking function: decreasing by ≥ 1 .

```
int main () {  
    unsigned int i, j ;  
    i=42 ; j=1515 ;  
    while (i>0) i--  
}
```

$\rho = i + 1$

Existing techniques: drawbacks / solutions

Existing techniques: build a system of constraints and solve:

$$Size = O(\#vars \times \#Bblocks \times \#transitions)$$

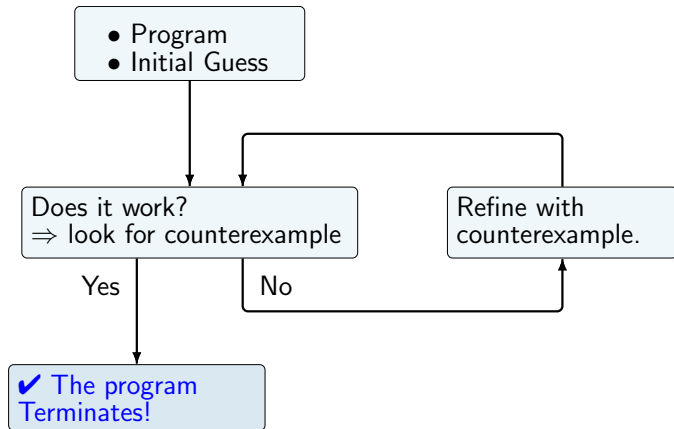
- ▶ scalability: all basic blocks \rightsquigarrow big constraint systems
- ▶ precision: ρ must decrease at **each** transition.

Our technique:

- ▶ only considers **a cut-set** of basic blocks.
- ▶ considers loops as single transitions.
- ▶ **We do not compute all paths** explicitly (CEGAR-based algorithm).



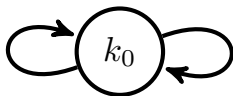
Our key insight : incremental generation of constraints



Sub-problem

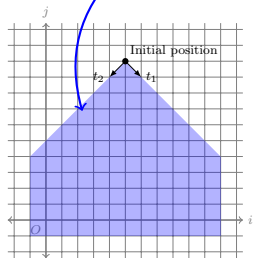
Given a single loop $\tau = t_1 \vee t_2$:

$$t_2 : \frac{0 \leq i \wedge 0 \leq j}{\begin{array}{l} i := i - 1 \\ j := j - 1 \end{array}}$$



$$t_1 : \frac{i \leq 10 \wedge 0 \leq j}{\begin{array}{l} i := i + 1 \\ j := j - 1 \end{array}}$$

+ an **invariant** \mathcal{I} , compute $\rho = \lambda \mathbf{x} + \ell$ an affine function:



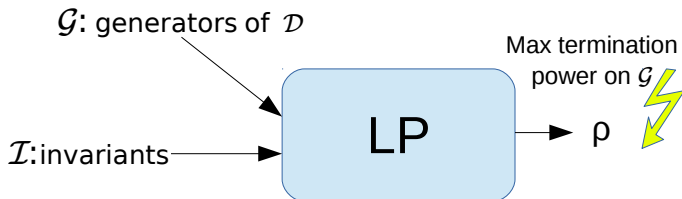
- ▶ Positive on \mathcal{I} .
- ▶ Decreasing on τ .

w.l.o.g we suppose $i_0 = 10, j_0 = 15$

$\mathbf{x} = \begin{pmatrix} i \\ j \end{pmatrix}$ is the vector of variables.

Solving the problem

Thanks to linearity + Farkas' Lemma we are able to define:



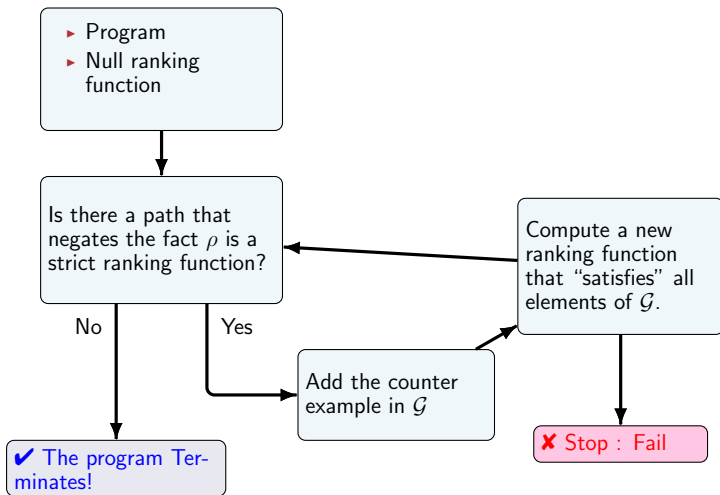
with \mathcal{D} the set of reachable one-step differences:

$$\mathcal{D} = \{\mathbf{x} - \mathbf{x}' \mid \mathbf{x}, \mathbf{x}' \in \mathcal{I}, (\mathbf{x}, \mathbf{x}') \in \tau\}$$

► ρ positive, decreasing on \mathcal{G} , and **strictly decreasing on a maximal subset of \mathcal{G}**

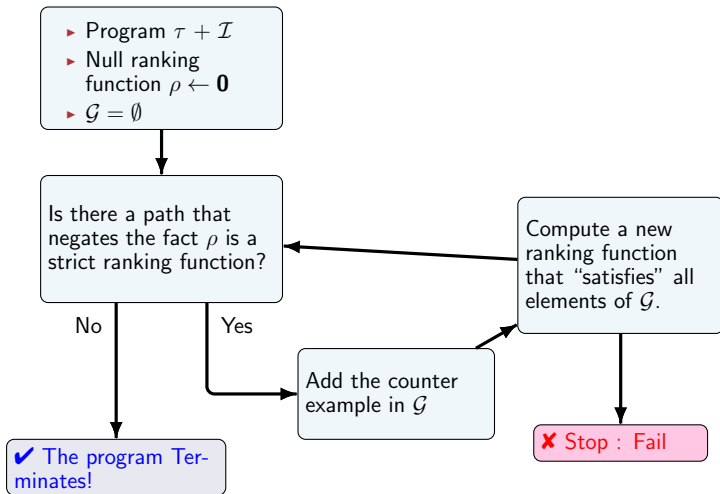
Simple algorithm for one control point

Idea : we construct \mathcal{G} lazily.



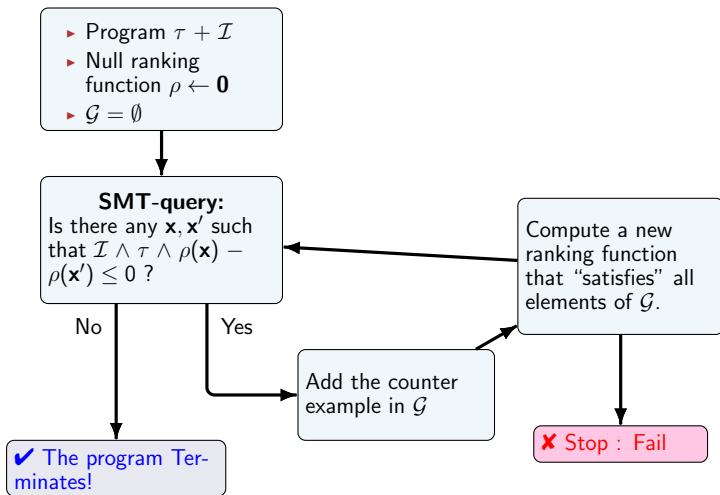
Simple algorithm for one control point

Idea : we construct \mathcal{G} lazily.



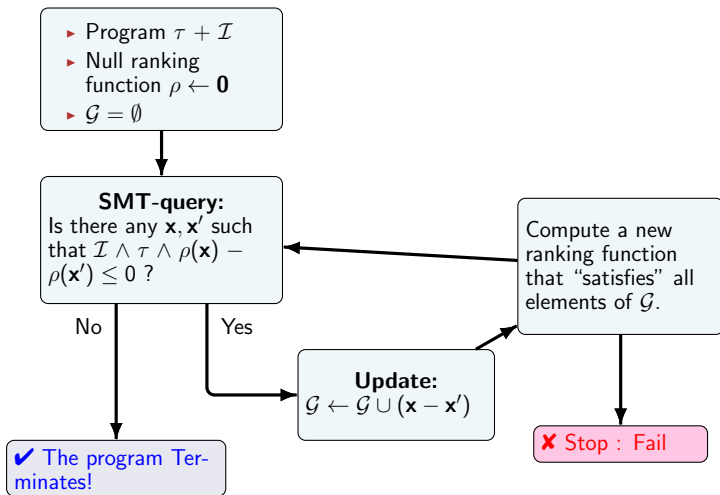
Simple algorithm for one control point

Idea : we construct \mathcal{G} lazily.



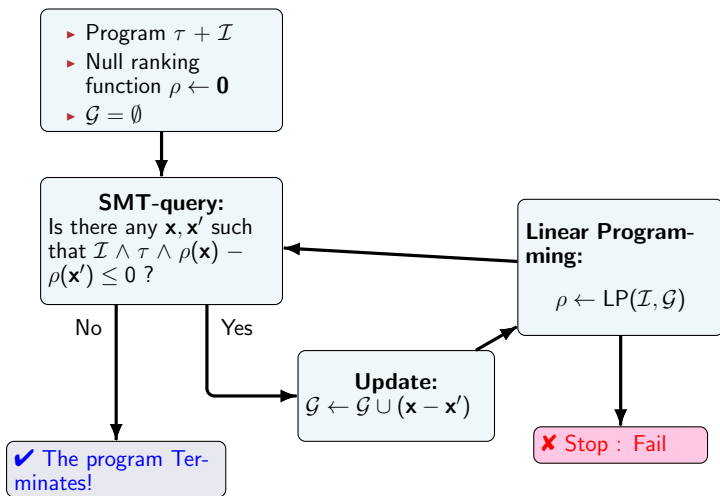
Simple algorithm for one control point

Idea : we construct \mathcal{G} lazily.

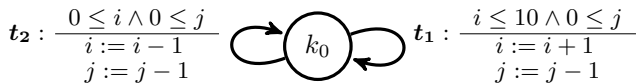


Simple algorithm for one control point

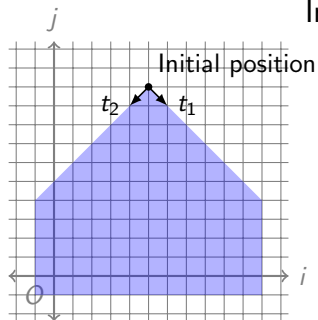
Idea : we construct \mathcal{G} lazily.



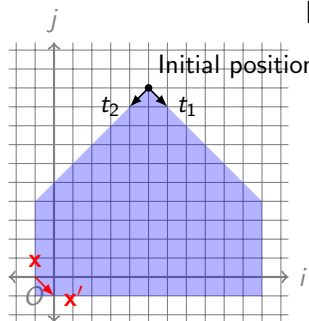
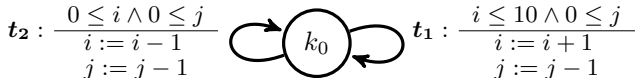
On the example



Initial $\rho \leftarrow \mathbf{0}$:



On the example



Initial $\rho \leftarrow \mathbf{0}$:

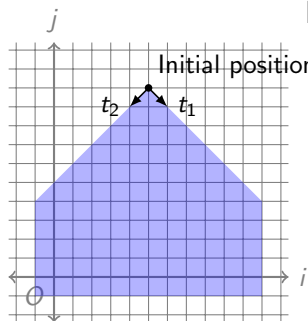
► **SMT**

$(i, j) = (-1, 0), (i', j') = (0, -1)$ is
a counterex for ρ (from t_1)

$\rightsquigarrow \mathcal{G} \leftarrow \{(-1, 1)\}$.

On the example

$$t_2 : \frac{0 \leq i \wedge 0 \leq j}{i := i - 1} \quad \begin{array}{c} \text{---} \rightarrow \\ \circlearrowleft \\ \leftarrow \text{---} \end{array} \quad k_0 \quad \begin{array}{c} \text{---} \rightarrow \\ \circlearrowright \\ \leftarrow \text{---} \end{array} \quad t_1 : \frac{i \leq 10 \wedge 0 \leq j}{i := i + 1}$$
$$j := j - 1$$



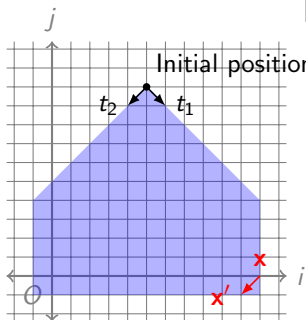
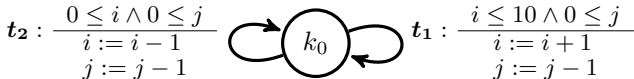
Initial $\rho \leftarrow 0$:

► **SMT**

$(i, j) = (-1, 0), (i', j') = (0, -1)$ is
a counterex for ρ (from t_1)
 $\rightsquigarrow \mathcal{G} \leftarrow \{(-1, 1)\}$.

► **LP** new candidate: $\rho = 11 - i$.

On the example



Initial $\rho \leftarrow \mathbf{0}$:

► **SMT**

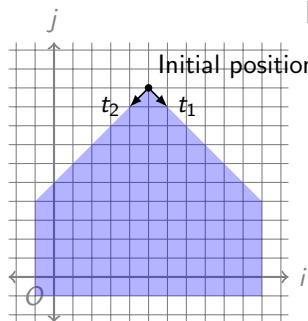
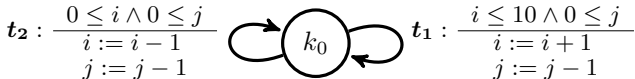
$(i, j) = (-1, 0), (i', j') = (0, -1)$ is
a counterex for ρ (from t_1)
 $\rightsquigarrow \mathcal{G} \leftarrow \{(-1, 1)\}$.

► **LP** new candidate: $\rho = 11 - i$.

► **SMT**

$(i, j) = (11, 0), i', j' = (10, -1)$ is a
counterex for ρ (from t_2)
 $\rightsquigarrow \mathcal{G} \leftarrow \mathcal{G} \cup \{(1, 1)\}$.

On the example



Initial $\rho \leftarrow 0$:

► **SMT**

$(i, j) = (-1, 0), (i', j') = (0, -1)$ is a counterex for ρ (from t_1)
 $\rightsquigarrow \mathcal{G} \leftarrow \{(-1, 1)\}$.

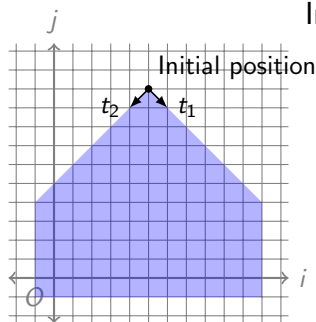
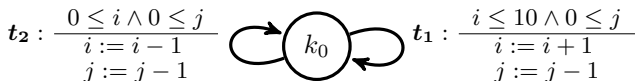
► **LP** new candidate: $\rho = 11 - i$.

► **SMT**

$(i, j) = (11, 0), i', j' = (10, -1)$ is a counterex for ρ (from t_2)
 $\rightsquigarrow \mathcal{G} \leftarrow \mathcal{G} \cup \{(1, 1)\}$.

► **LP** new candidate: $\rho = j + 1$.

On the example



Initial $\rho \leftarrow \mathbf{0}$:

▶ **SMT**

$(i, j) = (-1, 0)$, $(i', j') = (0, -1)$ is a counterex for ρ (from t_1)
 $\rightsquigarrow \mathcal{G} \leftarrow \{(-1, 1)\}$.

▶ **LP** new candidate: $\rho = 11 - i$.

▶ **SMT**

$(i, j) = (11, 0)$, $i', j' = (10, -1)$ is a counterex for ρ (from t_2)
 $\rightsquigarrow \mathcal{G} \leftarrow \mathcal{G} \cup \{(1, 1)\}$.

▶ **LP** new candidate: $\rho = j + 1$.

▶ **SMT UNSAT ! $\rho = j + 1$ is strict**

A major issue!

This algorithm **doesn't terminate** in general:

- ▶ The set of counter examples can be infinite.
- ▶ If there is no strict ranking function.

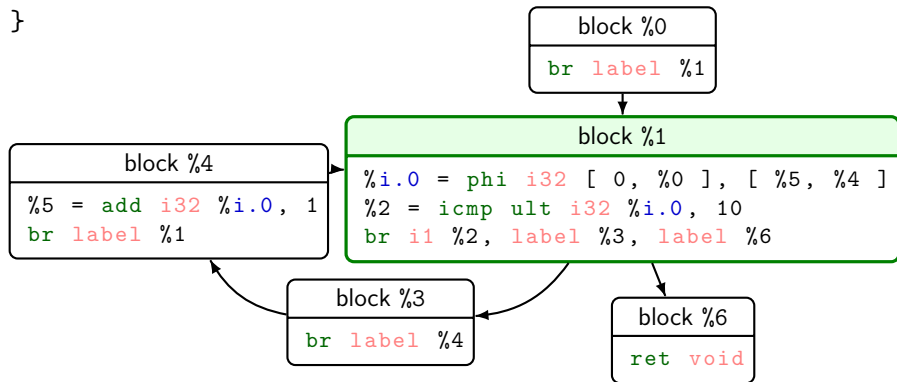
Fix: limit the search area for the counterexample $u = \mathbf{x} - \mathbf{x}'$

- ▶ impose counterexamples to be in the boundary of \mathcal{D} (max-SMT).
- ▶ always **improve** the ranking or quit.

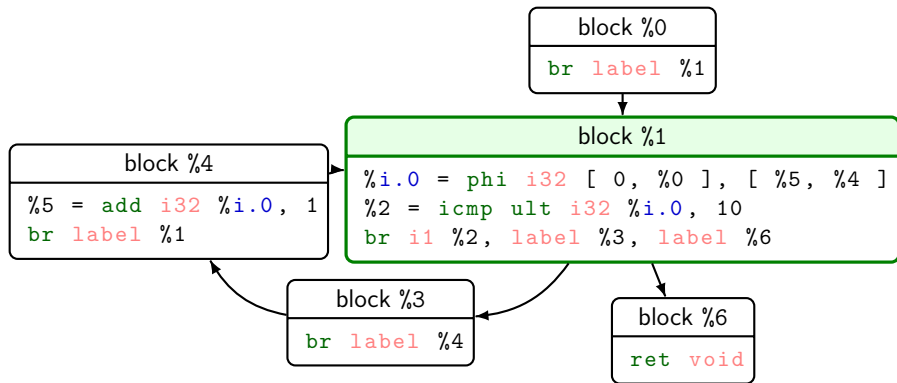


Control flow graph and LLVM representation

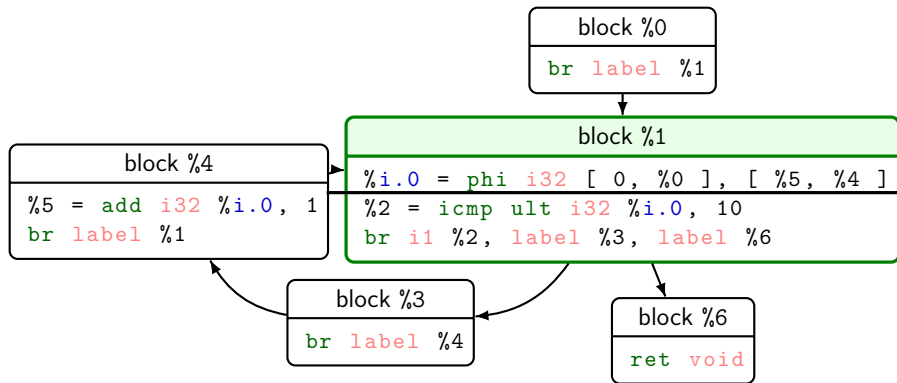
```
void simple_loop_constant() {  
    for(unsigned i=0; i<10; i++) {  
        // Do nothing  
    }  
}
```



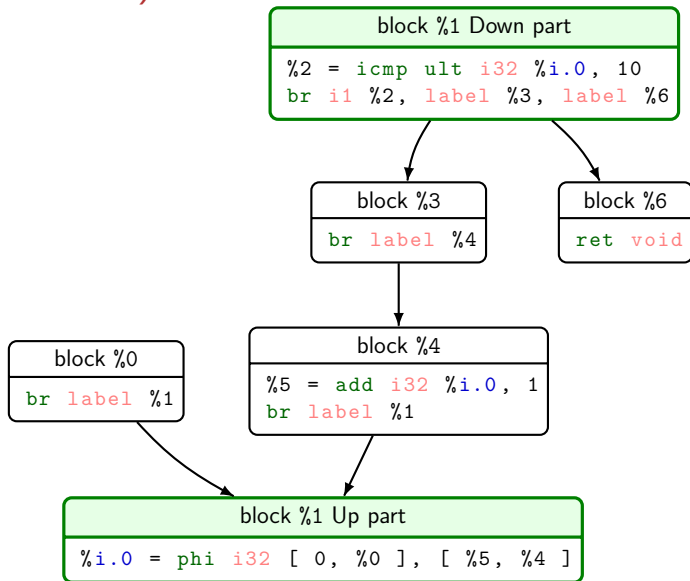
SMT encoding for control-flow-graph (LLVM2SMT)



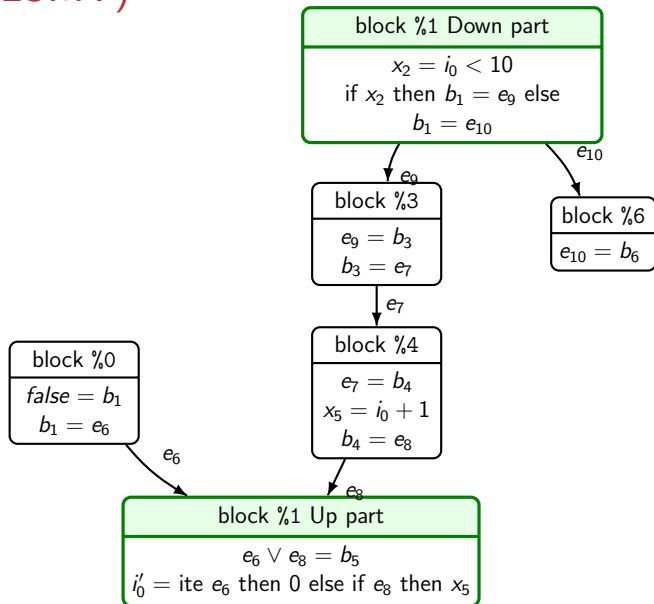
SMT encoding for control-flow-graph (LLVM2SMT)



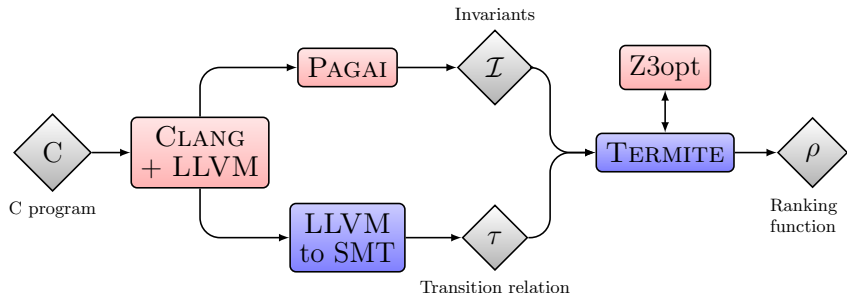
SMT encoding for control-flow-graph (LLVM2SMT)



SMT encoding for control-flow-graph (LLVM2SMT)



Software architecture



<http://termite-analyser.github.io/>



Experimental setup

- ▶ **Benchmarks:** TERMCOMP + others
- ▶ **Machine:** Intel(R) Xeon(R) @ 2.00GHz 20MB Cache.
- ▶ **Other tools:** (Rank), Aprove, Bchi Ultimate, Loopus.
- ▶ Issue : various front-ends / invariant generators

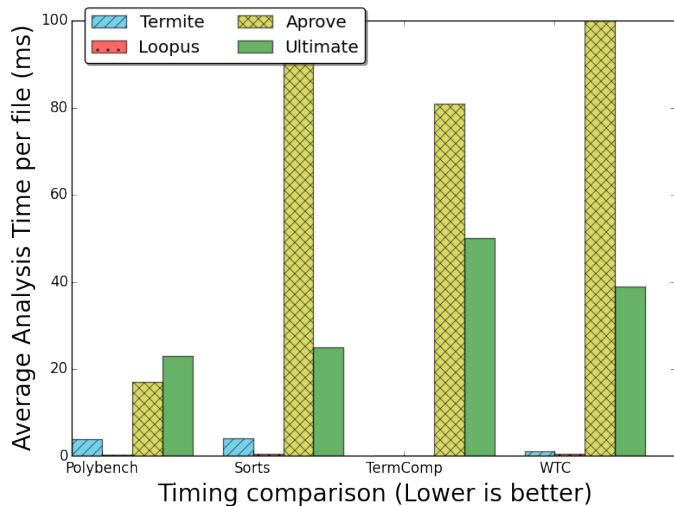
Comparison : Linear Programming instances sizes

On WTC benchmark (average per file):

Tool	#lines (con- straints)	#columns (variables)
RANK	584	229
TERMITE	5	2

RANK is the termination tool from [Alias et al, SAS 2010]

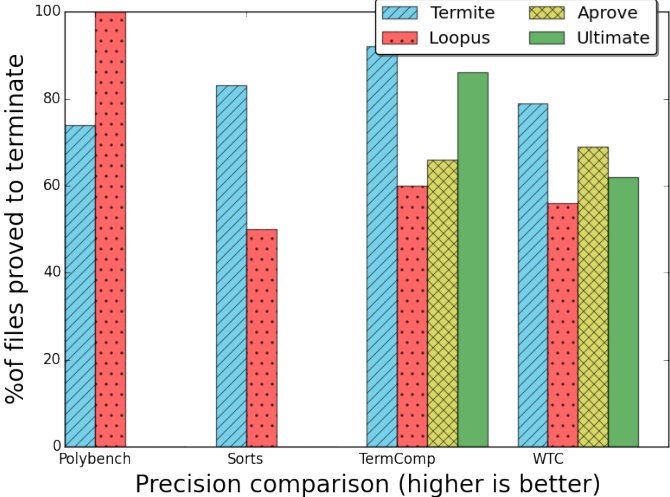
Timing Comparison



Timings exclude the front-end for TERMITE and LOOPUS.



Precision Comparison



In the paper

- ▶ The complete method: multidimensional algorithm, multi control points.
- ▶ Correctness, Complexity.
- ▶ Experimental evaluation.

Summary

- ▶ A complete method to synthesise multidimensional ranking functions
- ▶ Based on large block encoding + counter-example based linear programming instance generation.
- ▶ Experiments show great results!
- ▶ <http://termite-analyser.github.io/>

Future Work

- ▶ Use the technique to also compute \mathcal{I} .
- ▶ Conditional termination.
- ▶ Quantifier elimination.

